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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

INK-086 (2108/66)

First Named Inventor

Amundson

Title

Use of a Storage Capacitor to Enhance the
Performance of an Active Matrix Driven Electronic
Display**APPLICATION ELEMENTS**ADDRESS TO: **Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231**1. ☒ Fee Transmittal Form2. ☒ Specification and Drawings [Total Pages 28]

- Specification - (17 pages)

- Claims - (3 pages)

- Abstract - (1 page)

- Sheets of Drawings - (7 sheets)

☒ Formal☐ Informal3. ☒ Oath or Declaration [Total Pages 3]a. ☒ Newly executed (original)b. ☐ Copy from a prior application (37 CFR
1.63(d))

(for continuation/divisional with Box 17 completed)

[Note Box 4 below]

4. ☐ Incorporation by Reference (usable if Box 3b is checked)The entire Disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 3b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference therein.5. ☐ Microfiche Computer Program (Appendix)6. ☐ Nucleotide and/or Amino Acid Sequence Submission☐ Computer Readable Copy☐ Paper Copy (identical to computer copy)☐ Statement verifying identity of above copies**ACCOMPANYING APPLICATION PARTS**7. ☐ 37 CFR 3.73(b) Statement (when there is an assignee)
☐ Power of Attorney8. ☐ English Translation Document (if applicable)9. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations10. ☐ Preliminary Amendment☐ Drawings [Total Sheets]☐ Letter to Official Draftsperson Including
Drawings [Total Pages]11. ☒ Return Receipt Postcard12. ☒ Small Entity Statement(s)☐ Statements filed in prior application,
(Status still proper and desired)13. ☐ Certified Copy of Priority Document(s)14. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in
the prior application.15. ☒ Patent Application Data Entry Form16. ☐ Other:17. ☐ If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application Serial No. ____/____.**Priority to the above application(s) is claimed under 35 U.S.C. 120.**

Prior application information: Examiner: _____. Group/Art Unit: _____.

18. ☒ **Priority - 35 U.S.C. 119**☒ Priority of application Serial No. 60/144,911 filed on July 21, 1999 in U.S. is claimed under 35 U.S.C. 119.☐ The certified copy has been filed in prior U.S. application Serial No. ____/____ on ____.☐ The certified copy will follow.**CORRESPONDENCE ADDRESS**Direct all correspondence to: Patent Administrator
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MILSTEIN\2108\66.1037000_1

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))-- SMALL BUSINESS CONCERN**Attorney Docket No. **INK-086****(2108/66)**

Applicant, Patentee, or Identifier: **Amundson et al**
 Application or Patent No.: **Not yet assigned**
 Filed or Issued: **Herewith**
 Title: **Use of a Storage Capacitor to Enhance the Performance of an Active Matrix Drive Electronic Display**

I hereby state that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN: E Ink Corporation.ADDRESS OF SMALL BUSINESS CONCERN: 733 Concord Avenue, Cambridge, MA 02138.

I hereby state that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.9(d) and 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☒ the specification filed herewith with title as listed above.
☐ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities. (37 CFR 1.27).

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

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Correspondence Information

Correspondence Customer Number :: 021323

Application Information

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Formal Drawings :: Yes
Application Type :: Utility
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Representative Information

Representative Customer Number :: 021323

Continuity Information

This application claims the benefit of ::
>Application One :: 60/144,911
Filing Date :: July 21, 1999

MILSTEIN\2108\66.1037016_1

USE OF A STORAGE CAPACITOR
TO ENHANCE THE PERFORMANCE OF
AN ACTIVE MATRIX DRIVEN ELECTRONIC DISPLAY

Cross Reference to Related Applications

This application claims the benefit of U.S. provisional patent application serial number 60/144,911, filed July 21, 1999, which application is incorporated herein in its entirety by reference.

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Field of the Invention

This invention relates generally to systems and methods for addressing an electronic display. More particularly, the invention relates to designs for active matrix backplanes that use a capacitor at each pixel electrode for driving an electronic display.

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Background

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In an active matrix display, to address a pixel, a voltage is delivered to a pixel by addressing the gate (or select) line for that pixel as well as applying a voltage on the data line for that pixel. The pixel is charged up to a voltage approaching the corresponding data line voltage. After addressing the pixel, the voltage decays due to leakage through the pixel as well as through the thin-film transistor attached to the pixel. Therefore, the pixel does not experience the full voltage drop across its thickness for the entire time between addressing events. In fact, the voltage across the pixel may drop quite considerably between addressing events. This is undesirable because the optical response of the electro-optical medium is slower when the time-average voltage across the pixel is smaller. Also, the optical saturation will generally be smaller when the time-averaged voltage across the pixel is reduced.

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Summary of the Invention

In one aspect, the invention features an electronic display that comprises an encapsulated display medium comprising a plurality of pixels. The electronic display includes a transistor having a data electrode, a gate electrode and a pixel electrode and comprising a layer of insulating material situated between a first layer of conductive material that forms the gate electrode and a second layer of conductive material that forms the data and pixel electrodes. The data and pixel electrodes form the source and drain of the transistor. With field-effect transistors (FETs), either the source or the drain may be connected to the pixel, although typically it is the transistor source which is connected to the pixel. The transistor applies an addressing voltage to one of the pixels via the transistor pixel electrode. The electronic display includes a storage capacitor comprising a layer of insulating material situated between a first layer of conductive material and a second layer of conductive material. The storage capacitor is in electrical communication with the pixel addressed by the transistor for reducing a rate of voltage decay across the pixel. The encapsulated display medium can include electrophoretic particles or needles dispersed in a fluid, or can be bichromal spheres.

In one embodiment, one of the layers of material comprising the transistor and a respective layer of material comprising the storage capacitor comprise a continuous layer of material. In another embodiment, one of the conductors making up the storage capacitor is in electrical communication with a gate line electrode that is not used to address the pixel associated with the storage capacitor, but is adjacent to the pixel. In another embodiment, the storage capacitor is in electrical communication with a conductor that is not connected to any of the gate or data lines. This conductor could be held at a fixed voltage or electronically tied to the front (common plane) electrode of the display.

In one embodiment, the storage capacitor comprises a storage capacitor pixel electrode, an insulator disposed adjacent the pixel electrode and a storage capacitor gate electrode disposed adjacent the insulator. The insulator can be patterned. Alternatively, the insulator is unpatterned.

In one embodiment, the storage capacitor comprises a pixel electrode, an insulator disposed adjacent the pixel electrode and a gate electrode disposed adjacent the insulator. In one embodiment, the storage capacitor comprises a pixel electrode, a semiconductor disposed adjacent the pixel electrode, an insulator disposed adjacent the semiconductor, and a gate electrode disposed adjacent the insulator. In another embodiment, the storage capacitor comprises a pixel electrode, an insulator disposed adjacent the pixel electrode and a conductor disposed adjacent the insulator. In still another embodiment, the insulator forms a part of the storage capacitor and the transistor.

In one embodiment, a capacitance of the storage capacitor is greater than a capacitance of the pixel. In another embodiment, the voltage decay time across the pixel is approximately given by the product of R_p and $(C_p + C_s)$ where R_p is the resistance of the pixel, C_p is the capacitance of the pixel, and C_s is the capacitance of the storage capacitor.

In another embodiment, the transistor and the storage capacitor comprise a plurality of continuous layers of material.

In a further embodiment, the transistor and the storage capacitor each further comprise a layer of semiconducting material situated between the respective first layers of conductive material and the respective second layers of conductive material.

In another aspect, the invention relates to an electronic display comprising an encapsulated display medium comprising a plurality of pixels, in which each of the pixels comprises at least one capsule containing particles dispersed in a fluid. The electronic display includes a storage capacitor comprising a layer of insulating material situated between a first layer of conductive material and a second layer of conductive material. The storage capacitor is in electrical communication with one of the plurality of pixels for reducing a rate of voltage decay across the pixel.

In still another aspect, the invention relates to a method of addressing an electronic display having a display medium comprising a plurality of pixels and a plurality of storage capacitors, with at least one of the plurality of storage capacitors in electrical communication with a corresponding one of the plurality of pixels. The method comprises applying an electrical pulse to the capacitor to charge the capacitor to an addressing voltage of the

corresponding pixel, the duration of the electrical pulse being insufficient in length to fully address the pixel directly, so that the pixel is addressed and presents an intended appearance after the voltage pulse ends. In one embodiment, a plurality of electrical pulses are successively applied to a plurality of capacitors, each pulse charging the respective capacitor to an addressing voltage of the corresponding pixel. In this embodiment, an individual duration of an electrical pulse is insufficient in length to fully address a pixel directly, so that the pixels are addressed and present an intended image after the voltage pulses end, with the total duration of the plurality of pulses being less than an addressing time of the electronic display.

Brief Description of the Drawings

The objects and features of the invention can be better understood with reference to the drawings described below. The drawings are not necessarily to scale, emphasis instead generally being placed upon illustrating the principles of the invention.

FIG. 1 is a plan view of one exemplary embodiment of the circuitry used in addressing a pixel according to principles of the invention.

FIG. 2A-2D are elevation views of a number of illustrative embodiments of the invention.

FIG. 3A-3B are schematic circuit diagrams depicting various exemplary embodiments of the invention.

FIG. 4A shows a plan (or top) view of an embodiment of a thin-film transistor.

FIG. 4B shows a diagrammatic cross sectional view that corresponds to the transistor embodiment shown in FIG. 4A.

FIG. 5 shows a graph of drain current versus gate voltage for a sample of a two-mask transistor of the type shown in FIG. 4A.

FIG. 6A shows a diagrammatic cross-sectional view of an electronic display according to one embodiment of the present invention.

FIG. 6B shows a diagrammatic cross-sectional view of an electronic display

according to another embodiment of the present invention.

FIG. 6C shows a diagrammatic cross-sectional view of an electronic display according to a further embodiment of the present invention.

FIG. 6D shows a diagrammatic cross-sectional view of an electronic display according to yet another embodiment of the present invention.

Detailed Description

It is generally preferred to have as large as possible of a time-average voltage across the pixel. Methods of maintaining the addressed voltage drop across a pixel during times when the pixel is not being addressed enable rapid addressing of a display. This is because if the voltage across a pixel is maintained even during the time when the pixel is not being addressed, it continues to evolve optically toward a desired state. Therefore, many rows of pixels in the display, if not all, continue to evolve in parallel when the applied voltage is significantly held. A display of this sort can be addressed much more quickly than a display where the voltage across rows of pixels had decayed to nearly zero during much of the time of the refresh cycle.

Currently, storage capacitors are used in active-matrix liquid crystal displays in order to increase the voltage holding ratio (VHR) of the pixels. The VHR is the ratio of the voltage drop across a pixel just before the next addressing event divided by the voltage across the pixel just after addressing (both voltages referenced to the front plane voltage, which is taken to be zero in this case). However, there are several distinctions for the use of capacitors in electrophoretic or rotating ball displays. First, the capacitance of a pixel in an electrophoretic display or rotating ball display is typically much smaller than a liquid crystal display of the same pixel area. This is because a pixel in an electrophoretic display or rotating ball display will typically be thicker than a liquid crystal display (which is on the order of five microns) and also the dielectric constant is typically lower than for a liquid crystal.

Secondly, electrophoretic and rotating ball displays are driven to optical states that depend upon the sign of an electric field as opposed to a liquid crystal display where the liquid crystal responds to the square of the applied voltage (and thus is independent of the sign of the voltage). In colloquial terms, the electrophoretic and rotating ball displays are "DC driven" while a liquid crystal display is "AC driven." This also means that it is advantageous to switch the voltage of the front plane of an electrophoretic or rotating ball display and this has to be considered when using a storage capacitor.

Thirdly, the goal of the storage capacitor may be different in the two cases. For a liquid crystal display, the storage capacitor is incorporated so that the voltage across the pixel during the time in which the pixel is not addressed is held close to constant, and this allows for accurate addressing of greyscale states. In the case of electrophoretic displays, the storage capacitor may be incorporated to increase the speed of addressing of the display. The speed of addressing is improved because a storage capacitor maintains voltage across a pixel for a longer time than without the storage capacitor. This means that more rows of pixels can evolve under an electric field than without the storage capacitor. In the ideal situation, the voltage is substantially maintained between row addressing events, so that the optical state across the entire display evolves simultaneously.

The present invention features the use of storage capacitors to enhance the performance of an electrophoretic or rotating ball display. Use of a storage capacitor can greatly reduce the rate of decay of the voltage across the pixel. This increases the time-averaged voltage across the pixel, for everything else remaining equal, and so increases the response speed of the electro-optical material, and perhaps increases the optical contrast between oppositely-addressed states.

In addition, the use of a storage capacitor in a "DC driven" display can also provide an advantage in the system and method by which the display is addressed. For displays such as electrophoretic displays, in which particles must move a specific distance to alter the display state of a pixel, the addressing signal (which is typically a voltage) needs to be impressed upon the pixel for a time commensurate with the traverse time of the particles. For example, if the time needed to move particles in a pixel to turn the pixel from white to

black (or the reverse) is of the order of milliseconds (i.e. thousandths of a second), an applied voltage that lasts for a duration measured in units, tens or hundreds of microseconds (i.e. millionths of a second) will in general apply such a small driving impetus to the particles that they will not traverse, and the appearance of the pixel will not change significantly. However, if the driving excitation is applied to a pixel plus a storage capacitor designed so that the electric field is maintained for an extended time across the electrophoretic pixel, the field applied by the capacitor may endure for many milliseconds, even though the excitation pulse of microsecond duration has long since disappeared.

One millisecond is one thousand microseconds. A pulse requiring an interval of 1 microsecond for its application to a capacitor exhausts only 1/1000th of the one millisecond time span. One can thus successively address 1000 rows of pixels in a time of the order of one millisecond using one power supply.

One controls the power supply to apply a voltage pulse and enough current to charge the pixel plus the storage capacitor to a desired voltage state, using the well-know relation that charge Q on the capacitor is equal to the voltage V times the capacitance C (and is also equal to the integral of the current i(t) with respect to time), or in the usual terminology of electrical engineering,

$$Q = CV = \int idt$$

In this example, the capacitance, C, equals the sum of the capacitances of the pixel and the storage capacitor.

A storage capacitor is a capacitor formed or defined between the pixel electrode and another electrode in the active matrix panel. The pixel electrode will be referred to as the transistor pixel electrode, and as the storage capacitor pixel electrode, as the context may require. For example, an areal overlap between the pixel electrode and an adjacent gate line not used to address the pixel under consideration (or an overlap between the pixel electrode and another conductor) can be used to define a storage capacitor. See for example FIG. 1. An insulating material is situated between these overlapping regions of these two conductive elements. This particular embodiment is illustrated in the plan view shown in FIG. 1, in which there are two gate lines 10, 10'. Gate line 10 has a region 12 that overlaps pixel

electrode 14. Pixel electrode 14 corresponds to the pixel that is addressed by gate line 10', and not by gate line 10. For completeness, data line 20 is also shown. Other variations are possible.

For example, the pixel electrode 14 could partially overlap a separate conductive element that is tied to a particular voltage. In any case, it is important that the storage capacitance be at least of the order of the capacitance of the pixel, and preferably greater. That way it stores enough charge to significantly prolong the decay in the voltage drop across the pixel. At the top of FIG. 1, there is a second capacitor defined by the overlap of region 12' corresponding to gate line 10' with the partially shown pixel electrode 14'. An additional such capacitor region corresponding to 12' can be understood to be present for each gate line/pixel electrode pair along each data line of the electronic display. The first pixel or the last pixel in a row of pixels may require a separate conductive element, because there is no "next" pixel that requires a gate line. The capacitance of each such capacitor can be controlled by defining the area of overlap (and thus an area A), the thickness of the insulator (and thus the distance d between the conductors) and the material from which the insulator is fabricated (and thus the dielectric constant ϵ corresponding to the material chosen).

FIG. 2A illustrates a storage capacitor in cross section, showing the insulator 30 between the gate electrode 10 and the pixel electrode 14. The value of the storage capacitance, C_s , is given approximately by

$$C_s = \frac{\epsilon A}{d}$$

where ϵ is the dielectric constant of the insulator, A is the overlapping area of the electrodes, and d is the distance between the conductors. In order to achieve a relatively large capacitance it is advantageous to use a thin insulating layer between the overlapping electrodes that make up the storage capacitor, thereby making the distance d small. Other choices that will tend to increase the capacitance are to increase the dielectric constant of the insulator, and to form a capacitor with a larger area A . However, controlling the thickness d is generally the most convenient way to control the capacitance.

There are several ways of creating the storage capacitor. In FIG. 2B, one particularly convenient embodiment is shown in part. One can use the same dielectric (or insulator) layer 30 used in construction of the thin-film transistor as the dielectric in the storage capacitor. The pixel electrode 14 and gate electrode 10 are again shown.

5 Construction of such a capacitor involves depositing the gate lines 10, 10', then an unpatterned dielectric layer 30. Then, a patterned semiconductor layer 40 and data lines and pixel electrodes 14 are deposited to complete the thin film transistor. Also, the design includes an overlap 12 between the pixel electrode 14 and the adjacent gate line 10 not used to address the pixel in order to form the storage capacitor. The advantage of this design is
10 that the dielectric layer 30 need not be patterned, but serves both as the insulator for the thin film transistor and for the storage capacitor.

FIG. 2C depicts an embodiment in which it is desirable to not pattern the semiconductor or semiconductor contact material 40 as well. One could envision a storage capacitor made from the overlap between a pixel electrode 14 and an adjacent gate line 10 as detailed earlier, and with both the insulator 30 and active semiconductor layer 40 in
15 between. In this scheme, one would have to make sure that leakage through the semiconductor layer 40 does not cause undesirable cross-talk between electrical components.

In another scheme, a separate insulator could be patterned over the lower electrode of the storage capacitor, then the pixel electrode overlaps over that region, thus forming the storage capacitor.
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FIG. 2D shows an embodiment in which the insulator 30 is situated between the pixel electrode 14 and another conductor 16, which can for example be connected to the same voltage as the front electrode of the display, which conductor 16 overlaps with pixel
25 electrode 14 over an area A.

FIG. 3 illustrates the lumped circuit diagrams for two variations of the use of a storage capacitor. In one embodiment, shown in FIG. 3A, the storage capacitor 92' is tied to an adjacent gate line 10 that is not used to address the pixel under consideration, as described earlier. In another embodiment shown in FIG. 3B, the capacitor 92' is tied to a

separate conductive line 16 preferably tied to a voltage that follows the front-plane voltage. The pixel 35 is represented as a parallel resistor and capacitor tied to V_{com} , which is the voltage of the front plane. Although this is by no means a complete model, it is useful to demonstrate the advantage of a storage capacitor. If a voltage is applied to the pixel at zero time then the transistor is brought quickly into depletion so that its resistance can be taken to be infinite (for ease of calculation), then the voltage on the pixel decays exponentially. If there were no storage capacitor, the characteristic decay time, the time span over which the voltage decays to $1/e$ of its initial value, is given by the RC time constant, or $R_p C_p$. Adding the storage capacitor having capacitance C_s increases the voltage decay time to approximately $R_p(C_p+C_s)$.

An exemplary embodiment of a thin-film transistor and a thin film capacitor for use in an encapsulated electrophoretic display is shown in FIG. 4A in plan view. Referring to FIG. 4A, this exemplary embodiment includes data lines 30', 32', a selection line 36', a pixel electrode 34', and a capacitor 92'. Various physical dimensions are indicated, in microns.

The embodiment of FIG. 4A is illustrated in cross section in FIG. 4B, though not to scale. Referring to FIG. 4B, the embodiment includes gate electrodes 53', a dielectric layer 54' which can be SiN or another dielectric material, a semiconductor layer 56' which can be amorphous silicon or another semiconductor material, semiconductor contacts 58', which can be made from amorphous silicon or another semiconductor material, to the drain and pixel electrodes 59'. Capacitor 92' is shown in this exemplary embodiment also with dielectric layer 54', semiconductor layer 56', semiconductor contact 58' and an electrode layer.

To illustrate the operating characteristics of the embodiment of FIG. 4A and 4B, samples were prepared through either a two-mask process, as preferred, or a three-mask process, for comparison. In the two-mask process, the SiN dielectric layer 54' and the amorphous silicon layer 56' were not patterned while in the three mask process both the SiN dielectric layer 54' and the amorphous silicon layer 56' were patterned. The physical and experimentally measured electrical characteristics for these two samples are given in Table I.

Table I

Sample	WL	On/Off Ratio	Mobility	Threshold Voltage	G_m	Max. Drain Current	Min. Drain Current	Storage capacitance
<i>Patterned</i>	200/20	1×10^8	$.55 \text{ cm}^2/\text{Vs}$	5.0V	18.9 nA/V^2	10 μA	0.1pA	19.1 pF
<i>Unpatterned</i>	160/20	3.3×10^5	$.43 \text{ cm}^2/\text{Vs}$	5.0V	23.4 nA/V^2	20 μA	60pA	18.4 pF

The leakage current and On/Off ratio for the unpatterned sample, as expected, are poorer than for the patterned sample. The unpatterned sample, however, is both suitable and preferable for many display applications. Referring to FIG. 5, the drain current versus gate voltage characteristics of the two-mask sample are shown. The drain current can be caused to vary by over five orders of magnitude by changing the gate voltage from zero to 30 volts. This large range makes this transistor suitable for many display applications. Further alternative embodiments of a thin-film transistor array can be employed, as will be recognized by those of ordinary skill in the electronic display arts.

As mentioned before, the display can be an electrophoretic display. Electrophoretic displays have been the subject of intense research and development for a number of years. Electrophoretic displays have attributes of good brightness and contrast, wide viewing angles, state bistability, and low power consumption when compared with liquid crystal displays. Nevertheless, problems with the long-term image quality of these displays have prevented their widespread usage. For example, particles that make up such displays tend to cluster and settle, resulting in inadequate service-life for these displays.

An encapsulated, electrophoretic display typically does not suffer from the clustering and settling failure mode of traditional electrophoretic devices and provides further advantages, such as the ability to print or coat the display on a wide variety of flexible and rigid substrates. Use of the word "printing" is intended to include all forms of printing and coating, including, but without limitation: premetered coatings such as patch die coating, slot or extrusion coating, slide or cascade coating, and curtain coating; roll coating such as knife over roll coating, forward and reverse roll coating; gravure coating; dip coating; spray

coating; meniscus coating; spin coating; brush coating; air knife coating; silk screen printing processes; electrostatic printing processes; thermal printing processes; and other similar techniques. Thus, the resulting display can be flexible. Further, because the display media can be printed (using a variety of methods), the display itself can be made inexpensively.

5 In broad overview, the invention relates to encapsulated electrophoretic displays that provide a flexible, reflective display that can be manufactured easily and consume little power (or no power in the case of bistable displays in certain states), as well as materials and methods useful in their construction. Such displays, therefore, can be incorporated into a variety of applications. The display can be formed from and can include particles that move
10 in response to an electric charge. This mode of operation is typical in the field of electrophoretics. A display in which the particles, ordered by an electric charge, take on a certain configuration can take on many forms. Once the electric field is removed, the particles can be generally stable (*e.g.*, bistable). Additionally, providing a subsequent electric charge can alter a prior configuration of particles. Some encapsulated
15 electrophoretic displays may include two or more different types of particles. Such displays may include, for example, displays containing a plurality of anisotropic particles and a plurality of second particles in a suspending fluid. Application of a first electric field may cause the anisotropic particles to assume a specific orientation and present an optical property. Application of a second electric field may then cause the plurality of second
20 particles to translate, thereby disorienting the anisotropic particles and disturbing the optical property. Alternatively, the orientation of the anisotropic particles may allow easier translation of the plurality of second particles. The particles may have a refractive index that substantially matches the refractive index of the suspending fluid.

An encapsulated electrophoretic display can be constructed so that the optical state of
25 the display is stable for some length of time. When the display has two states that are stable in this manner, the display is bistable. If more than two states of the display are stable, then the display is multistable. For the purpose of the present invention, the term bistable indicates a display in which any optical state remains fixed once the addressing voltage is removed. However, the definition of a bistable state depends upon the display's application.
30 A slowly decaying optical state can be effectively bistable if the optical state is substantially

unchanged over the required viewing time. For example, in a display that is updated every few minutes, a display image that is stable for hours or days is effectively bistable for a particular application. Thus, for purposes of the present invention, the term bistable also indicates a display with an optical state sufficiently long-lived so as to be effectively bistable for a particular application. Alternatively, it is possible to construct encapsulated electrophoretic displays in which the image decays quickly once the addressing voltage to the display is removed (*i.e.*, the display is not bistable or multistable). Whether or not an encapsulated electrophoretic display is bistable, and its degree of bistability, can be controlled through appropriate chemical modification of the electrophoretic particles, the suspending fluid, the capsule, and binder materials.

An encapsulated electrophoretic display may take many forms. The display may include capsules dispersed in a binder. The capsules may be of any size or shape. The capsules may, for example, be spherical and may have diameters in the millimeter range or the micron range, but are preferably from about ten to about a few hundred microns. The capsules may be formed by an encapsulation technique. Particles may be encapsulated in the capsules. The particles may be two or more different types of particles. The particles may be colored, luminescent, light-absorbing or transparent, for example. The particles may include neat pigments, dyed (laked) pigments or pigment/polymer composites, for example. The display may further include a suspending fluid in which the particles are dispersed.

Generally, an encapsulated electrophoretic display includes a capsule with one or more species of particle that either absorb or scatter light and that are suspended in a fluid. One example is a system in which the capsules contain one or more species of electrophoretically mobile particles dispersed in a dyed suspending fluid. Another example is a system in which the capsules contain two separate species of particles suspended in a clear suspending fluid, in which one species of particle absorbs light (black), while the other species of particle scatters light (white). There are other extensions (more than two species of particles, with or without a dye, *etc.*). The particles are commonly solid pigments, dyed particles, or pigment/polymer composites.

In electrophoretic displays, the particles may be oriented or translated by placing an electric field across the capsule. The electric field may include an alternating-current field or a direct-current field. The electric field may be provided by at least one pair of electrodes disposed adjacent to a display comprising the capsule.

5 The successful construction of an encapsulated electrophoretic display requires the proper interaction of all these materials and processes. Materials such as a polymeric binder (for example, for binding the capsules to a substrate), electrophoretic particles, fluid (for example, to surround the electrophoretic particles and provide a medium for migration), and a capsule membrane (for example, for enclosing the electrophoretic particles and fluid) must
10 all be chemically compatible. The capsule membranes may engage in useful surface interactions with the electrophoretic particles, or may act as an inert physical boundary between the fluid and the binder. Polymer binders may set as adhesives between capsule membranes and electrode surfaces.

Materials for use in creating electrophoretic displays relate to the types of materials,
15 including, but not limited to, particles, dyes, suspending fluids, and binders used in fabricating the displays. In one embodiment, types of particles that may be used to fabricate suspended particle displays include scattering pigments, absorbing pigments and luminescent particles. Such particles may also be transparent. Exemplary particles include titania, which may be coated in one or two layers with a metal oxide, such as aluminum
20 oxide or silicon oxide, for example. Such particles may be constructed as corner cubes. Luminescent particles may include, for example, zinc sulfide particles. The zinc sulfide particles may also be encapsulated with an insulative coating to reduce electrical conduction. Light-blocking or absorbing particles may include, for example, dyes or pigments. Types of dyes for use in electrophoretic displays are commonly known in the art. Useful dyes are
25 typically soluble in the suspending fluid, and may further be part of a polymeric chain. Dyes may be polymerized by thermal, photochemical, and chemical diffusion processes. Single dyes or mixtures of dyes may also be used.

A suspending (*i.e.*, electrophoretic) fluid may be a high resistivity fluid. The suspending fluid may be a single fluid, or it may be a mixture of two or more fluids. The

suspending fluid, whether a single fluid or a mixture of fluids, may have its density substantially matched to that of the particles within the capsule. The suspending fluid may be halogenated hydrocarbon, such as tetrachloroethylene, for example. The halogenated hydrocarbon may also be a low molecular weight polymer. One such low molecular weight
5 polymer is poly(chlorotrifluoroethylene). The degree of polymerization for this polymer may be from about 2 to about 10.

Furthermore, capsules may be formed in, or later dispersed in, a binder. Materials for use as binders include water-soluble polymers, water-dispersed polymers, oil-soluble polymers, thermoset polymers, thermoplastic polymers, and uv- or radiation-cured polymers.

10 In some cases, a separate encapsulation step of the process is not necessary. The electrophoretic fluid may be directly dispersed or emulsified into the binder (or a precursor to the binder material) to form what may be called a “polymer-dispersed electrophoretic display.” In such displays, the individual electrophoretic phases may be referred to as capsules or microcapsules even though no capsule membrane is present. Such polymer-
15 dispersed electrophoretic displays are considered to be subsets of encapsulated electrophoretic displays.

In an encapsulated electrophoretic display, the binder material surrounds the capsules and separates the two bounding electrodes. This binder material must be compatible with the capsule and bounding electrodes and must possess properties that allow for facile
20 printing or coating. It may also possess barrier properties for water, oxygen, ultraviolet light, the electrophoretic fluid, or other materials. Further, it may contain surfactants and cross-linking agents, which could aid in coating or durability. The polymer-dispersed electrophoretic display may be of the emulsion or phase separation type.

Referring to FIG. 6A, an embodiment of an electrophoretic display that employs a
25 thin-film transistor array of the present invention is shown. FIG. 6A shows a diagrammatic cross-section of an electrophoretic display 130 constructed using electronic ink. The binder 132 includes at least one capsule 134, which is filled with a plurality of particles 136 and a dyed suspending fluid 138. In one embodiment, the particles 136 are titania particles. When a direct-current electric field of the appropriate polarity is applied across the capsule 134, the

particles 136 move to the viewed surface of the display and scatter light. When the applied electric field is reversed, the particles 136 move to the rear surface of the display and the viewed surface of the display then appears dark.

FIG. 6B shows a cross-section of another electrophoretic display 140 constructed using electronic ink. This display comprises a first set of particles 142 and a second set of particles 144 in a capsule 141. The first set of particles 142 and the second set of particles 144 have contrasting optical properties. For example, the first set of particles 142 and the second set of particles 144 can have differing electrophoretic mobilities. In addition, the first set of particles 142 and the second set of particles 144 can have contrasting colors. For example, the first set of particles 142 can be white, while the second set of particles 144 can be black. The capsule 141 further includes a substantially clear fluid. The capsule 141 has electrodes 146 and 146' disposed adjacent it. The electrodes 146, 146' are connected to a source of voltage 148, which may provide an electric field to the capsule 141. In one embodiment, upon application of an electric field across the electrodes 146, 146', the first set of particles 142 move toward electrode 146', while the second set of particles 144 move toward electrode 146. In another embodiment, upon application of an electric field across the electrodes 146, 146', the first set of particles 142 move rapidly toward electrode 146', while the second set of particles 144 move only slowly or not at all towards electrode 146, so that the first set of particles packs preferentially at the microcapsule surface adjacent to electrode 146'.

FIG. 6C shows a diagrammatic cross-section of a suspended particle display 150. The suspended particle display 150 includes needle-like particles 152 in a transparent fluid 154. The particles 152 change their orientation upon application of an AC field across the electrodes 156, 156'. When the AC field is applied, the particles 152 are oriented perpendicular with respect to the display surface and the display appears transparent. When the AC field is removed, the particles 152 are randomly oriented and the display 150 appears opaque.

The electrophoretic and suspended particle displays provided in FIGS. 6A-6C are exemplary only, and other electrophoretic displays can be used in accordance with the present invention.

In another detailed embodiment, the display medium 106 can comprise a plurality of bichromal spheres shown in FIG. 6D. A bichromal sphere 160 typically comprises a positively charged hemisphere 162 of a first color and a negatively charged hemisphere 164 of a second color in a liquid medium 166. Upon application of an electric field across the sphere 160 through a pair of electrodes 168, 168', the sphere 160 rotates and displays the color of one of the two hemispheres 162, 164.

Equivalents

While the invention has been particularly shown and described with reference to specific preferred embodiments, it should be understood by those skilled in the art that various changes in form and detail may be made therein without departing from the spirit and scope of the invention as defined by the appended claims.

What is claimed is:

Claims

1. An electronic display comprising:
 - an encapsulated display medium comprising a plurality of pixels;
 - a transistor having a data electrode, a gate electrode and a pixel electrode and comprising a layer of insulating material situated between a first layer of conductive material that forms the gate electrode and a second layer of conductive material that forms the data and pixel electrodes, the transistor applying an addressing voltage to one of the pixels via the transistor pixel electrode; and
 - a storage capacitor comprising a layer of insulating material situated between a first layer of conductive material and a second layer of conductive material, said storage capacitor in electrical communication with the pixel addressed by the transistor for reducing a rate of voltage decay across the pixel.
2. The display of claim 1 wherein each of said pixels comprises an electrophoretic display medium comprising at least one capsule containing particles disposed in a fluid.
3. The display of claim 1 wherein one of said layers of material comprising said transistor and a respective layer of material comprising said storage capacitor comprise a continuous layer of material.
4. The display of claim 1 wherein said transistor and said storage capacitor each further comprise a layer of semiconducting material situated between said respective first layers of conductive material and said respective second layers of conductive material.
5. The display of claim 4 wherein one of said layers of material comprising said transistor and a respective layer of material comprising said storage capacitor comprise a continuous layer of material.
6. The display of claim 4 wherein said transistor and said storage capacitor comprise a plurality of continuous layers of material.

- 1 7. The display of claim 1 wherein the storage capacitor is in electrical communication
2 with a second gate line different from a first gate line in electrical communication
3 with the transistor gate electrode for addressing the pixel.
- 1 8. The display of claim 1 wherein the storage capacitor is in electrical communication
2 with a conductor.
- 1 9. The display of claim 1 wherein the storage capacitor comprises a storage capacitor
2 pixel electrode, an insulator disposed adjacent the pixel electrode and a storage
3 capacitor gate electrode disposed adjacent the insulator.
- 1 10. The display of claim 9 wherein the insulator is patterned.
- 1 11. The display of claim 9 wherein the insulator is unpatterned.
- 1 12. The display of claim 9 wherein the insulator forms a part of the storage capacitor and
2 the transistor.
- 1 13. The display of claim 1 wherein the storage capacitor comprises a storage capacitor
2 pixel electrode, a semiconductor layer disposed adjacent the storage capacitor pixel
3 electrode, an insulator layer disposed adjacent the semiconductor, and a storage
4 capacitor gate electrode disposed adjacent the insulator.
- 1 14. The display of claim 1 wherein the storage capacitor comprises a storage capacitor
2 pixel electrode, an insulator disposed adjacent the storage capacitor pixel electrode
3 and a conductor disposed adjacent the insulator.
- 1 15. The display of claim 1 wherein a capacitance of the storage capacitor is greater than a
2 capacitance of the pixel.
- 1 16. The display of claim 1 wherein a voltage decay time across the pixel is based on the
2 product of R_p and $(C_p + C_s)$ where R_p is a resistance of the pixel, C_p is a capacitance
3 of the pixel, and C_s is a capacitance of the storage capacitor.
- 1 17. The display of claim 1 wherein said transistor and said storage capacitor comprise a
2 plurality of continuous layers of material.
- 1 18. An electronic display comprising:

an encapsulated electrophoretic display medium comprising a plurality of pixels; and
a storage capacitor comprising a layer of insulating material situated between a first
layer of conductive material and a second layer of conductive material, said storage
capacitor in electrical communication with one of said plurality of pixels for
reducing a rate of voltage decay across the pixel.

19. A method of addressing an electronic display having a display medium comprising a
plurality of pixels and a plurality of storage capacitors, at least one of said plurality of
storage capacitors in electrical communication with a corresponding one of said
plurality of pixels, the method comprising:
applying an electric pulse to the pixel and storage capacitor to charge the pixel and
storage capacitor to an addressing voltage, the duration of the electric pulse being
insufficient in length to fully address the pixel directly, so that the pixel is addressed
and presents an intended appearance after the electric pulse ends.

20. The method of claim 19 wherein a plurality of electric pulses are successively applied
to a plurality of pixels and storage capacitors, each pulse charging the respective pixel
and storage capacitor to an addressing voltage of the corresponding pixel, an
individual duration of an electric pulse being insufficient in length to fully address a
pixel directly, so that the pixels are addressed and present an intended image after the
electric pulses end

USE OF A STORAGE CAPACITOR TO ENHANCE THE
PERFORMANCE OF AN ACTIVE MATRIX DRIVEN ELECTRONIC DISPLAY

ABSTRACT

A system and method of use of a storage capacitor to improve the appearance and addressing characteristics of an electronically driven display. The capacitor is formed by the overlap of portions of electrodes used to address different pixels, or by the overlap of an addressing line and a conductor. An insulator layer situated between the capacitor electrodes can be the same insulator layer present in an FET transistor used to address the pixel. Methods of use of capacitors to achieve improved display addressing are disclosed.

10

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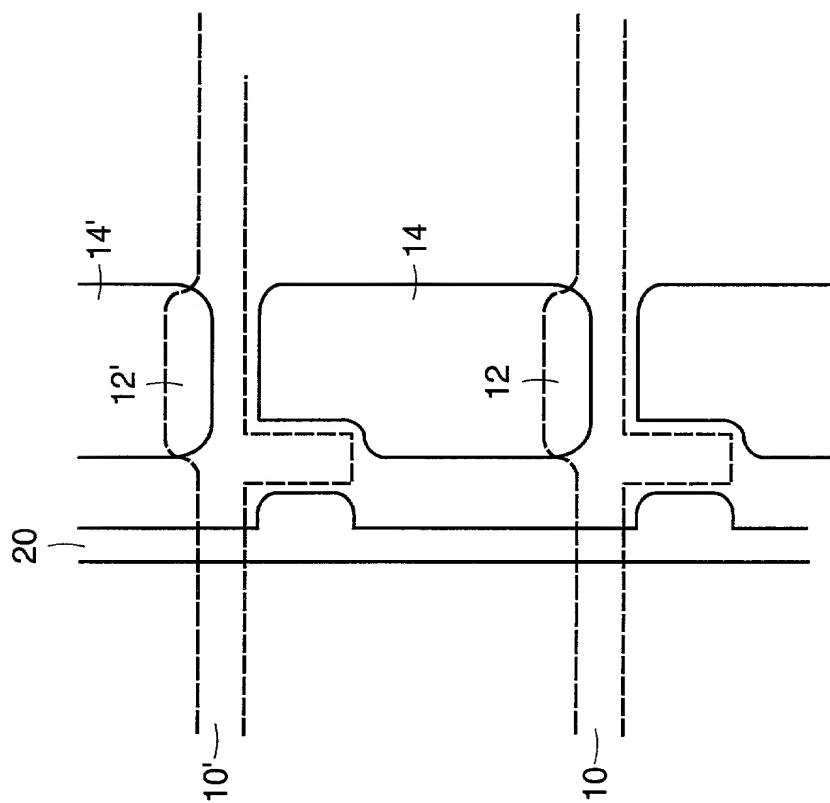


FIG. 1

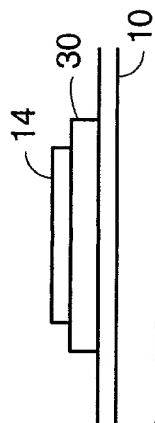


FIG. 2A

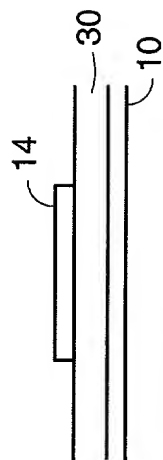


FIG. 2B

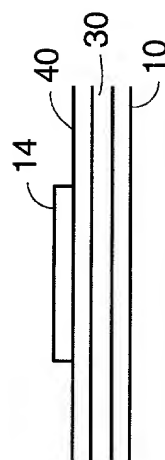


FIG. 2C

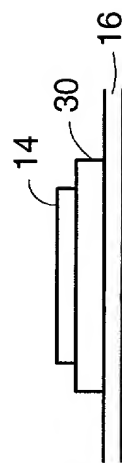


FIG. 2D

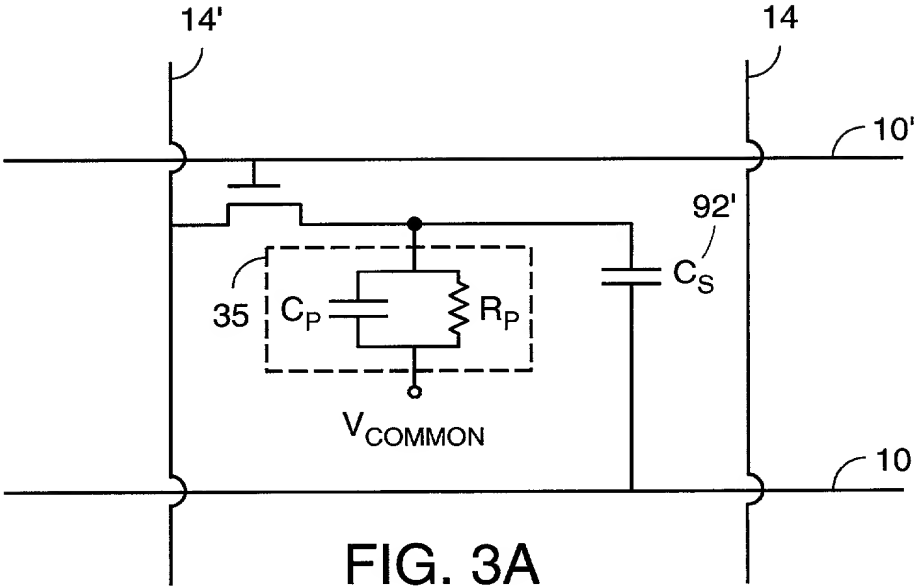


FIG. 3A

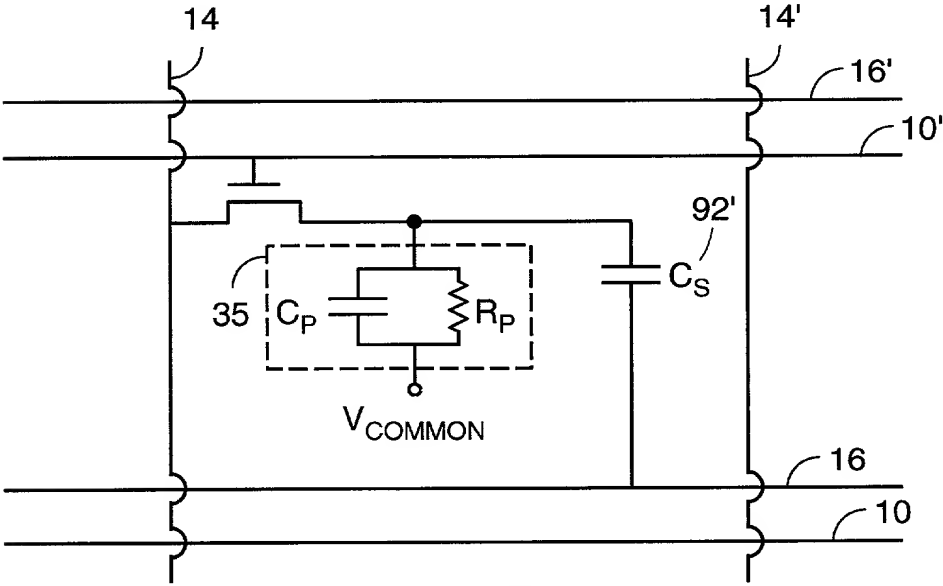
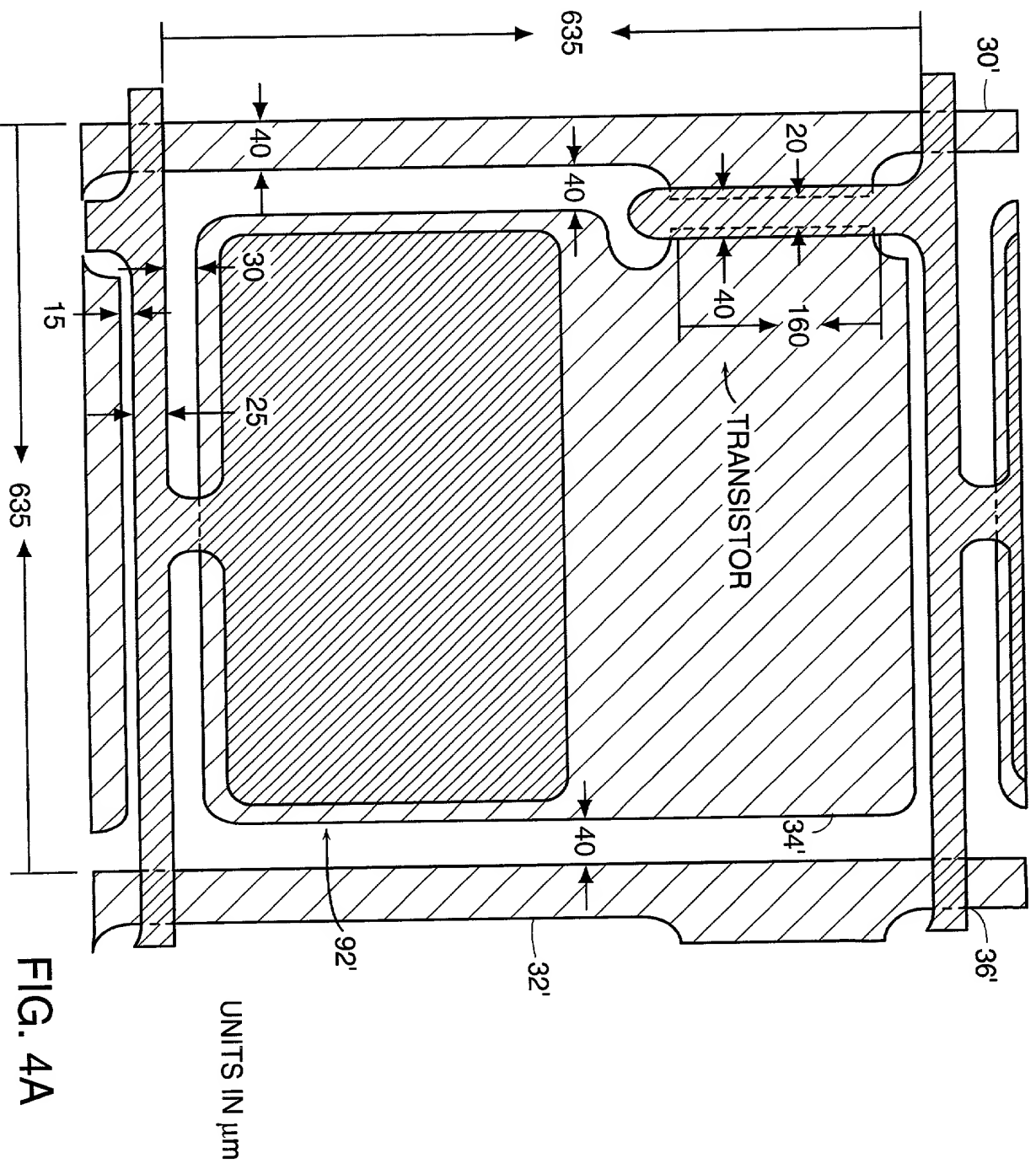


FIG. 3B



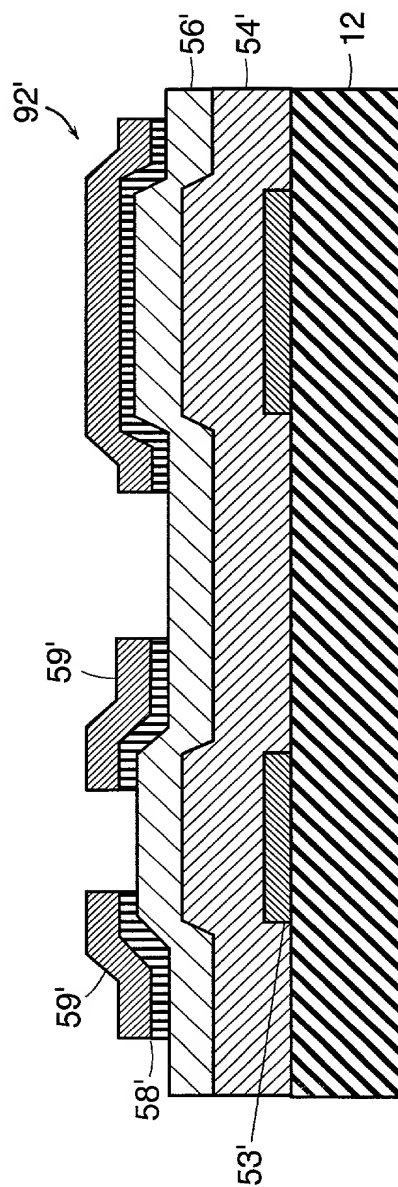


FIG. 4B

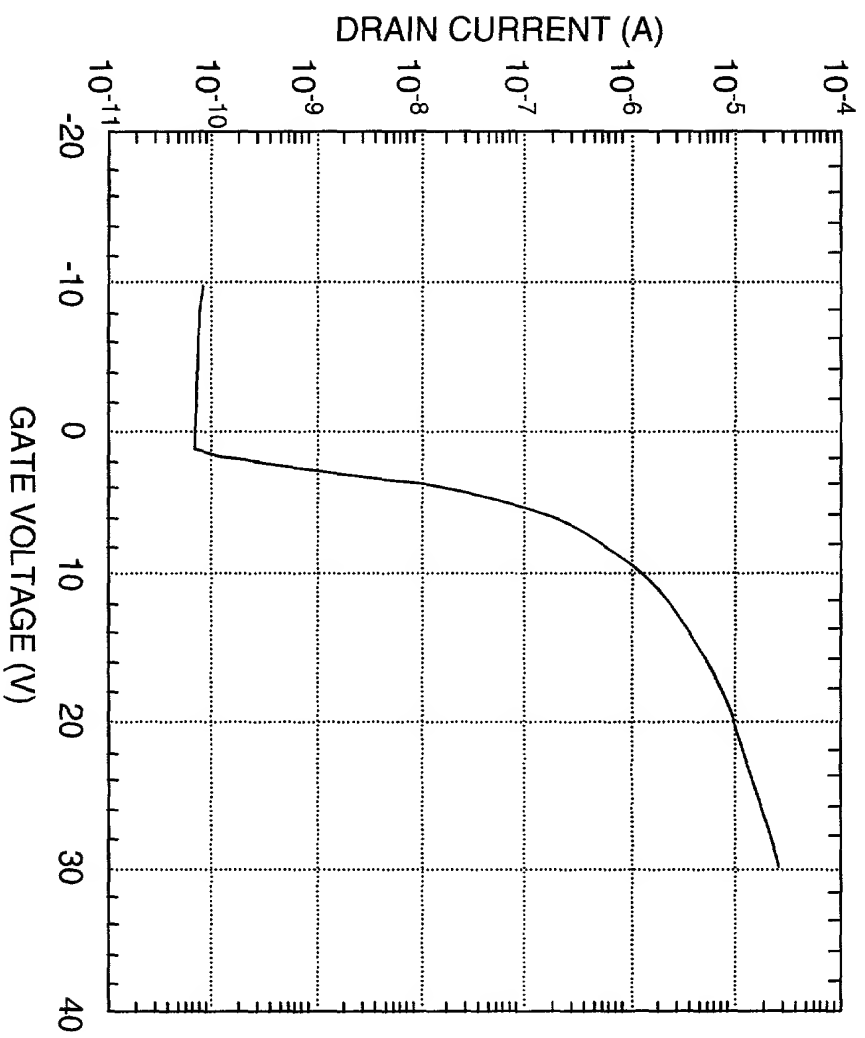


FIG. 5

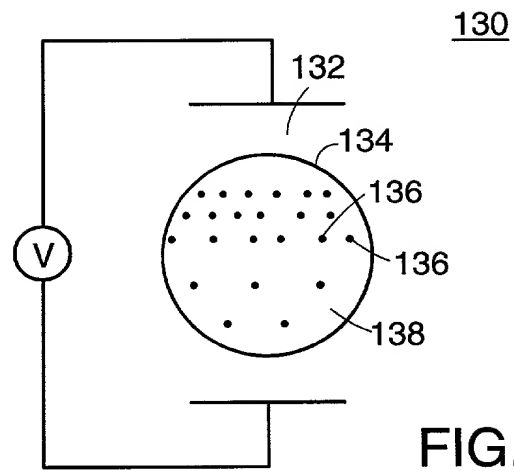


FIG. 6A

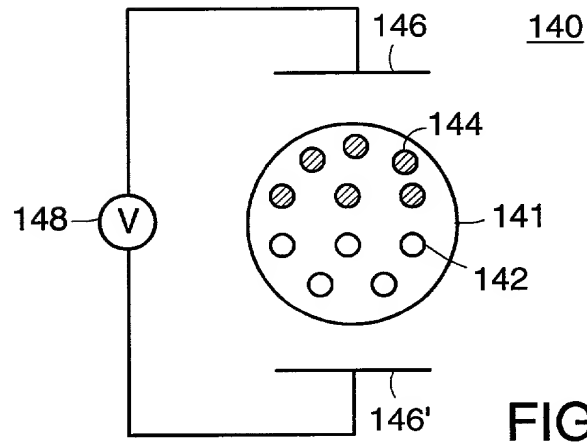


FIG. 6B

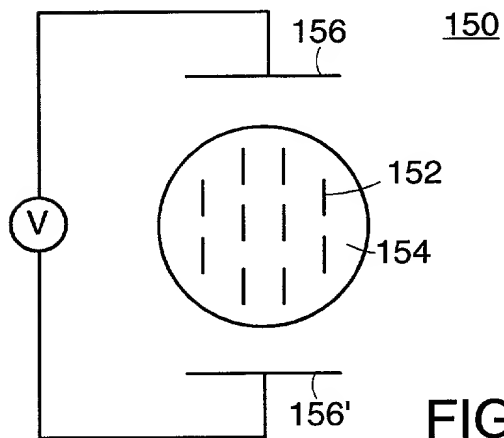


FIG. 6C

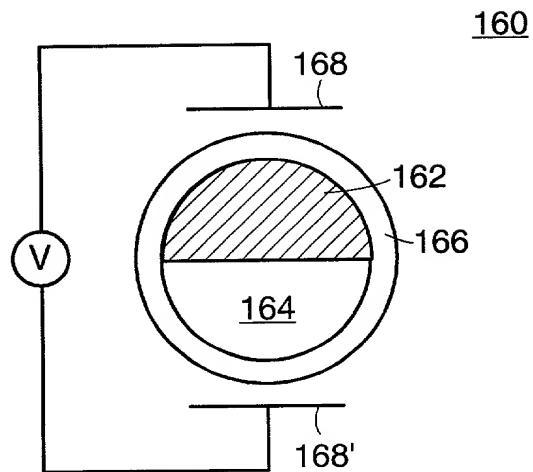


FIG. 6D

DECLARATION AND POWER OF ATTORNEY FOR UTILITY OR DESIGN PATENT APPLICATION <input checked="" type="checkbox"/> Declaration <input type="checkbox"/> Declaration Submitted with Submitted after Initial Initial Filing Filing (surcharge 37 CFR 1.16(e) required)	Attorney Docket No.	INK-086 (2108/66)
	First Named Inventor	Amundson
	COMPLETE IF KNOWN	
	Application Serial Number	Not Yet Assigned
	Filing Date	Herewith
	Group Art Unit	Not Yet Assigned
	Examiner Name	Not Yet Assigned

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Use of a Storage Capacitor to Enhance the Performance of an Active Matrix Driven Electronic Display

(Title of the Invention)

the specification of which

☒ is attached hereto
OR

☐ was filed on [] as United States Application Serial Number or PCT International (MM/DD/YYYY)

Application Number [] and was amended on (MM/DD/YYYY) [] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose to the Patent Office all information known by me to be material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet attached hereto.

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Serial Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application serial numbers are listed on a supplemental priority data sheet attached hereto.
60/144,911	07/21/1999	

DECLARATION – Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c), of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Serial Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet attached hereto.

As a named inventor, I hereby appoint the following registered practitioners to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☐ Customer Number

OR

☒ Registered practitioner(s) name/registration number listed below

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Number Bar Code
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Kurt W. Lockwood	40,704		

☐ Additional registered practitioners named on supplemental Registered Practitioner Information sheet attached hereto.

Direct all correspondence to:


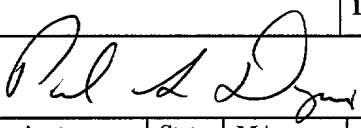
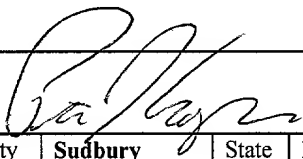
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Declaration and Power of Attorney for Utility or Design Patent Application

Atty. Docket No. INK-086 (2108/66)

Page 3 of 3

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's Signature						Date	7/19/00	
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<input type="checkbox"/> Additional inventors are being named on the supplemental Additional Inventor(s) sheet(s) attached hereto.								
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Inventor's Signature						Date	7/29/00	
Residence	City	Lexington	State	MA	Country	USA	Citizenship	USA
Post Office Address	2 Paddock Lane							
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Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor						
Given Name (first and middle [if any])				Family Name or Surname				
Peter T.				Kazlas				
Inventor's Signature						Date	7/19/2000	
Residence	City	Sudbury	State	MA	Country	USA	Citizenship	USA
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